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I, JULIE BILLINGSLEY, TEAM LEADER EXAMINATION SUPPORT AND SALES hereby certify that annexed is a true copy of the Provisional specification in connection with Application No. 2003901611 for a patent by INTEGRATED RESEARCH LIMITED as filed on 04 April 2003.

WITNESS my hand this
Seventh day of April 2004

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AUSTRALIA

Patents Act 1990

PROVISIONAL SPECIFICATION

Applicant(s):

INTEGRATED RESEARCH LIMITED

Invention Title:

A METHOD AND APPARATUS FOR PRODUCING INFORMATION REGARDING THE OPERATION OF A SYSTEM

The invention is described in the following statement:

A METHOD AND APPARATUS FOR PRODUCING INFORMATION REGARDING THE OPERATION OF A SYSTEM

Technical Field

This invention relates to a method and apparatus for producing information regarding the operation of a system.

Background to the Invention

In a system, such as a networked computer system or a communications system, various entities are connected to a network. Communication paths between the entities can be established across the network. If problems occur with the network, such as failure of network infrastructure equipment, or overloading of the network, then this can cause degradation or loss of communication between entities communicating across the network. This can be costly for businesses who rely on these networks for the conduct of their day to day business. It is important that such problems are avoided, or rectified as quickly as possible.

An illustrative example of the above described problem arises in the operation of an IP telephony system. In an IP telephony system, TCP/IP enabled telephones are connected to a network. The network utilised can be an existing LAN which is already used for other tasks, such as networking a group of computers. This means that a telephone system can be installed in a premises that has a pre-existing network, and the telephones are configured to operate over the existing network. This allows a telephone system to be installed requiring significantly less wiring than a traditional telephone system, which requires wiring to be installed for the sole purpose of connecting the telephones to a local telephone exchange such as a PABX.

In an IP telephony system, calls made between telephones connected to the same LAN are established as communication paths between the telephones across the LAN.

These communications paths are established by a system controller which controls operation of the system. This controller is typically in the form of a file server computer connected to the network. If a call is required to be made to a telephone not connected to the LAN, then the communication path may be routed across a WAN, or may be made via a gateway which establishes a connection to a traditional telephone network, such as a Public Switched Telephone Network ("PSTN").

In a traditional telephone system, the originating telephone and the answering telephone remain connected by a permanent circuit for the duration of the call. The data transmission capability of the circuit is sufficient to carry a voice signal at an acceptable call quality. In contrast, during a telephone call in an IP telephony system, there exists no direct circuit connection between the originating and answering telephones. In the case of two telephones connected to the same network, the voice signal is encoded into data packets by one of the IP enabled telephones which are then transmitted across the network to the other telephone. The other telephone unencodes the received packets to reproduce the voice signal for the listener. This is a two way process to allow both call participants to speak and listen simultaneously.

In the case where the call is routed through a gateway to a PSTN, the data packets are transferred to or from the circuit switched PSTN by the gateway, which acts as an interface between the two systems.

The data packets, originating from either a gateway or an IP telephone, share the network with other data packets being sent and received by other entities connected to the network. These other entities can include other IP telephones, personal computers and other networked devices. During times of heavy network traffic, the packets sent and received by IP telephones may be held up due to bottlenecks caused by the overall level of

network traffic. This can result in a depletion of the performance of the IP telephony system such as a drop in call quality experienced by the user, long delays in obtaining a dial tone, and even the dropping out of calls in progress. This disruption to the telephone service can be very costly in business. It is also frustrating to users who have come to expect trouble free telephone operation from traditional telephone systems.

Disruption to the system is similarly caused by delays in operation of the system controller. This could be due to various causes including a heavy demand on the controller, or that the controller has inadequate processing capacity to cope with normal demand.

Managing a networked system goes some way to avoiding, or assisting speedy resolution of, problems that occur with the system. These systems have been managed by reviewing activity logs created by entities connected to the network. However, these activity logs only give an indication of past operational activity and hence their usefulness in timely management of the network is limited.

Summary of the Invention

In a first aspect the present invention provides a method of producing operational information regarding the operation of a system, the system including a controller which assists to establish a communication path between entities connected to a network, the method including the step of: combining system configuration information regarding the configuration of the system with system activity information regarding activity of the system to obtain the operational information.

By this method, near real-time information regarding the operation of the system is produced. This information can inform a system administrator of the current operational conditions of the system to allow them to take appropriate remedial action, if needed.

In a second aspect the present invention provides a method of controlling the operation of a system, the system including a controller which assists to establish a communication path between entities connected to a network, the method including the step of: obtaining system configuration information regarding the configuration of the system, obtaining system activity information regarding activity of the system; combining the system configuration information with the system activity information to obtain the operational information; and controlling the system based on the operational information.

In a third aspect the present invention provides an apparatus for producing operational information regarding the operation of a system, the system including a controller which assists to establish a communication path between entities connected to the network, the apparatus being arranged to combine system configuration information regarding the configuration of the system with system activity information regarding activity of the system to obtain the operational information.

In a fourth aspect the present invention provides a computer program arranged to instruct a computing system to conduct a method in accordance with either the first or second aspects of the invention.

In a fifth aspect the present invention provides a computer program arranged to instruct a computer system to operate in accordance with the third aspect of the invention.

In a sixth aspect the present invention provides a computer readable medium carrying a software program according to either the fourth or fifth aspects of the invention.

System configuration information includes information about the configuration of the system.. This includes information about the topology of the system, such as the identity of devices connected by the network and whether they are presently connected to the network, how they are connected to the network and their addresses on the network. It also includes information about how the system should react to particular conditions, such as routing of traffic on the network.

System activity information includes information about activity of the system. This includes information obtained from use of devices connected to the system that requires them to communicate by way of the network.

Brief Description of the Drawings

An embodiment of the present invention will now be described, by way of example only, with reference to the accompanying drawings, in which:

Figure 1 is a schematic view of a known IP telephony system;

Figure 2 is a schematic view of the IP telephony system of figure 1 modified to include an apparatus according to an embodiment of the present invention; and

Figure 3 is a schematic overview of a method according to an embodiment of the invention.

Detailed Description of the Preferred Embodiment

Referring to Figure 1, a known IP telephony system is shown. The system includes a collection of entities connected to a network in the form of LAN 12. The entities include multi-media devices including IP telephones 14,16 and gateway 18. The gateway could be either of an H.323 or MGCP gateway. The multi-media devices are the end points within the call system that actually perform the input/output operation on voice and

video media.

The system further includes a controller in the form of system controller 20. System controller 20 is the central processing unit of IP telephony network 10. It is embodied as a network file server computer running suitably configured software. Depending upon the size of the system, a cluster of computers may be used. System controller 20 includes a data repository which holds system configuration information regarding IP telephony system 10. The data repository includes details of the topology of the network, information that informs system controller 20 how to process telephone calls made by use of the system 10 by establishing connections between the multi media devices. It further includes details of whether a physical multi-media device has actually been installed to handle calls for a particular telephone extension number. Depending upon the size and geographical distribution of system 10 there may be more than one system controller 20.

Telephone calls are established via signalling that runs across LAN 12. For instance, if the receiver of IP telephone 14 is lifted then a signal is sent by IP telephone to system controller 20 indicating that the receiver has been lifted. System controller 20 operates to provide a dial tone to IP telephone 14 to indicate to the user that they may place a call. Digits pressed on IP phone 14 to dial a number are individually processed by system controller 20. The system configuration information includes routing information that instructs system controller 20 how to route a call, based on the digits dialled. If the user dials the number that identifies IP telephone 16 then system controller establishes a communication path between IP telephones 14 and 16. If the number dialled relates to a destination device not connected to the LAN 12, system controller 20 routes the call via gateway 18 which interfaces with another telephone system.

Referring to figure 2, the system of Figure 1 is shown modified to include an apparatus in accordance with an embodiment of the invention. System controller 20 runs a Network Monitoring Driver (NMD) 22 that intercepts each signalling packet that enters and exits the node and a Network Monitoring Process (NMP) 24 that filters the packets and synthesises system activity information 27 which includes call signalling data from multi-media devices such as IP phones and gateways. The system activity information is sent to file server computer 25 which is connected to LAN 12 and is running a software program 26.

Referring to Figure 3, an overview of the operation of the software program 26 is shown. Software program 26 receives system configuration information 29 from a data repository of system controller 20. The system configuration information 29 is received upon initialisation of the software program 26. The system configuration information 29 remains constant during operation of system 10 until the configuration of system 10 is altered such as by the addition or removal of multi-media devices. Updated system configuration information is obtained by software program 26 to allow for changes in the configuration of system 10.

Software program 26 further receives system activity information 27 from network monitoring process 24. The system activity information 27 reflects activity in system 10 and is sent to the software program 26 whenever activity occurs. The system configuration information 29 and the system activity information 27 are combined by the software program 26 to obtain operational information, which can be made available to a user or system administrator.

Software program 26 also receives entity status information by interrogating multi media devices in the form of IP telephones 14, 16 and gateway 18. The system configuration information 29 and the system activity

information 27 are used to determine the address of the device to interrogate.

Network Monitoring

There are three main groups of system activity information 27 provided by the network monitoring process 24:

- Signalling Path Information
- Media Path Information
- Multi-media Device Status Information

Signalling Path Information

Whenever a call attempt is made from a device a signalling connection in some sense is created between the device and the relevant system controller 20. The NMP detects any new call attempts and creates a call leg object to represent the lifetime of the call from the originating end. Similarly whenever a device answers a call a signalling connection is created between it and the system controller 20 and the NMP creates a call leg object to represent the lifetime of the call from the answering end.

IP phones and gateways use several different signalling standards (otherwise known as protocols) to communicate with the system controller 20. IP phones use the SCCP protocol and gateways use the MGCP and H.323 protocols. The NMP parses these protocols and from them obtains the following call leg information:

- Device Name (protocol dependant)
- Device IP Address
- Call Reference Number
- Calling Party Number
- Called Party Number

Media Path Information

From the device signalling the following information is obtained about the media path:

- Voice Compression Standard
- RTP IP addresses and ports

This information is stored in the call leg object that was created for the call attempt.

Multi-media Device Status Information

From the device signalling the following information is obtained about the status of the multi-media device:

- Registration Failure Cause
- Off-Hook Count
- On-Hook Count
- Delay-To-Dial-Tone

Interrogation of Multi Media Devices

The multi media devices connected to LAN 12 contain entity status information 30 that can be obtained by interrogating the particular device, and receiving a response from the device. Examples of Multi-media Device data sources are:

- HTML Pages from IP phones
- SNMP MIB accesses from gateways

The entity status information 30 that can be obtained from direct interrogation of multi-media devices includes:

- List of interfaces
- Interface types (FXO, FXS, E&M, PRI, CAS)
- Interface status (Up/Down)
- Voice Compression Standards
- Quality of Service Parameters
- Software version

Output Data Streams

Software program 26 combines the system configuration information29 and the system activity information 27 and sometimes further combines the entity status information 30 to produce operational information including information pertaining to call legs 32, calls 34, devices

36, quality of service 38 and route availability 40.

Call Legs

The call leg objects created by the NMP are matched to the device that created the call leg by directly indexing off the device name or comparing the IP address that the call leg was sourced from to the IP addresses of the devices according to the system configuration information 29. The operational information produced includes:

- Device Name
- Device IP Address
- Device RTP IP Address
- Device RTP UDP Port
- Destination RP IP Address
- Destination RTP UDP Port
- Call Reference
- Called Party Number
- Calling Party Number
- Call Type (Answering/Originating)
- Call Start Time
- Call Duration
- Voice Compression Standard
- Protocol Type (SCCP/MGCP/H.323)

Calls

A call is said to exist when two call legs with matching RTP IP addresses and ports are identified, indicating that two multi-media devices have exchanged information that allows them to transport voice and/or video information to each other. It is possible to obtain information on all of the calls present within the packet switched network at any given time and on any given multi-media device. The information produced includes:

- Call Reference
- Originating Device Name
- Answering Device Name

- Called Party Number
- Calling Party Number
- Call Start Time
- Call Stop Time
- Call Direction (Incoming/Outgoing/Internal/Trunked)

Devices

In order to render the information about current calls meaningful a list of multi-media devices that can be called is required. By combining the system configuration information 29 obtained from the system controller 20 with the entity status information 30 obtained from interrogating the devices and the system activity information 27 the following device information can be provided:

- Device Name
- Device Type
- Protocol Type
- IP Address
- Device Description
- Slot Number
- Subunit Number
- Port Number
- Channel Number (only relevant for bearer channels within PRI and CAS spans)
- Status (Up/Down)
- Voice Interface Card Name
- Delay-to-dial-tone

Route Availability

It is highly desirable to determine the availability of service within the packet switched network. This is most effectively done by calculating the availability for various patterns of phone numbers, otherwise known as route patterns. High availability means that nearly all of the devices assigned to route calls matching that pattern are operational; low availability means that few

of the devices assigned to route calls matching that pattern are operational.

The system configuration information 29 includes details of the rules the system uses for routing calls by the called party number. By combining this information with the entity status information 30 by cross checking, a list of route patterns and their availability is produced. The information produced includes:

- Route Pattern
- Destination Name
- Type (MGCP Gateway/MGCP Endpoint/H.323 Gateway/Route List)
- Status (Up/Down)

Quality of Service

Quality of Service parameters and Voice Compression Standard figures for a particular call in progress are obtained by interrogating the multi-media devices involved in the call. These devices are identified by the information produced in relation to calls as described above. Quality of service is calculated to ITU G-107 or P.862 standards.

In certain instances, a monitoring device may be employed to monitor the quality of service experienced by a particular multi-media device. In this case, the monitoring device may be interrogated to determine information relating to quality of service.

The operational information output by software program 26 can be presented graphically on a display screen. Alternatively, the system can be configured to send an alert message to a system administrator if a particular element of the operational information crosses a pre-determined threshold. For instance, if call quality drops below a set level the system administrator may be alerted to take action to rectify the situation. Still further, action may be taken automatically based on the

operational information to control operation of the system.

The method of the invention is particularly suitable for providing operational information regarding the IP telephony system produced by Cisco Systems and sold under the trade name CallManager.

Whilst this invention has been described by reference to an IP telephony system it has application to other systems where a connection between entities is established across a network.

Whilst the software program 26 has been described above as running on its own dedicated networked computer, it could run on a computer that forms part of system controller 20.

Any reference to prior art contained herein is not to be taken as an admission that the information is common general knowledge, unless otherwise indicated.

Finally, it is to be appreciated that various alterations or additions may be made to the parts previously described without departing from the spirit or ambit of the present invention.

Glossary of Acronyms

E1: A European standard for a digital connection between customer premises equipment and the local exchange. The E1 bit rate is 2.048 Mbit/s.

FXO: Foreign Exchange Office. This is U.S. terminology for the exchange end of an analogue telephone line.

FXS: Foreign Exchange Station. This is U.S. terminology for the subscriber end of an analogue telephone line.

H.323: ITU Standard for packet switched multi-media calls.

IP: Internet Protocol. Part of the TCP/IP protocol suite.

ISDN: Integrated Services Digital Network.

LAN: Local Area Network.

MGCP: Media Gateway Control Protocol.

MIB: Management Information Base. This is an abstract database resident within a managed network node that is accessed via SNMP.

NMD: Network Monitoring Driver.

NMP: Network Monitoring Process.

PRI: Primary Rate ISDN. This is an ISDN connection that is maintained over an E1 or a T1 span.

PSTN: Public Switched Telephone Network.

Q.931: ITU Standard for telephony signalling. This was originally designed for ISDN signalling but has been extended for use in H.323 packet switched calls.

RTP: Real-time Transport Protocol. A protocol used to transmit voice information on a packet switched network.

SCCP: Skinny Client Control Protocol.

SNMP: Simple Network Management Protocol.

T1: A U.S. standard for a digital connection between customer premises equipment and the local exchange. The T1 bit rate is 1.544 Mbit/s.

THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

1. A method of producing operational information regarding the operation of a system, the system including a controller which assists to establish a communication path between entities connected to a network, the method including the steps of:
combining system configuration information regarding the configuration of the system with system activity information regarding activity of the system to obtain the operational information.

2. A method according to claim 1 wherein the system configuration information includes details of the topology of the system.

3. A method according to either claim 1 or claim 2 wherein the system configuration information is obtained from the controller.

4. A method according to any preceding claim wherein the system activity information is obtained by monitoring network traffic.

5. A method according to claim 4 wherein the network traffic monitored is that addressed to or from the controller.

6. A method according to claim 5 wherein the network traffic is monitored at a node of the network which connects the controller to the network.

7. A method according to any preceding claim wherein entity status information is further combined with the system configuration information and the system activity information to produce the operational information.

8. A method according to claim 7 wherein the entity status information is obtained by interrogating an entity connected to the network.

9. A method according to claim 8 wherein the address of the interrogated entity is obtained from the system activity information.

10. A method according to any preceding claim wherein the system is a multi-media communications system.

11. A method according to any preceding claim wherein the system is an IP telephony system.

12. A method according to any preceding claim wherein the entities include multi-media devices.

13. A method according to any preceding claim wherein the entities include IP telephones or gateways.

14. A method of controlling the operation of a system, the system including a controller which assists to establish a communication path between entities connected to a network, the method including the step of: obtaining system configuration information regarding the configuration of the system, obtaining system activity information regarding activity of the system; combining the system configuration information with the system activity information to obtain the operational information; and controlling the system based on the operational information.

15. An apparatus for producing operational information regarding the operation of a system, the system including a controller which assists to establish a communication path between entities connected to the network, the apparatus being arranged to combine system configuration information regarding the configuration of the system with system activity information regarding activity of the system to obtain the operational information.

16. An apparatus according to claim 15 which is arranged to obtain the system configuration information from the controller.

17. An apparatus according to either claim 15 or claim 16 which is arranged to obtain the system activity information by monitoring network traffic.

18. An apparatus according to claim 17 arranged to monitor the network traffic that is addressed to or from the controller.

19. An apparatus according to claim 18 which is arranged to monitor the network traffic at a node of the network which connects the controller to the network.

20. An apparatus according to any one of claims 15 to 19 arranged to further combine entity status information with the system configuration information and the system activity information to produce the operational information.

21. An apparatus according to claim 20 arranged to obtain the entity status information by interrogating an entity connected to the network.

22. A computer program arranged to instruct a computing system to conduct a method in accordance with any one of claims 1 to 14.

23. A computer program arranged to instruct a computer system to operate as an apparatus according to any one of claims 15 to 21.

24. A computer readable medium carrying a computer program according to either claim 22 or claim 23.

Dated this 31st day of March 2003

INTEGRATED RESEARCH PTY LTD

By its Patent Attorneys

GRIFFITH HACK

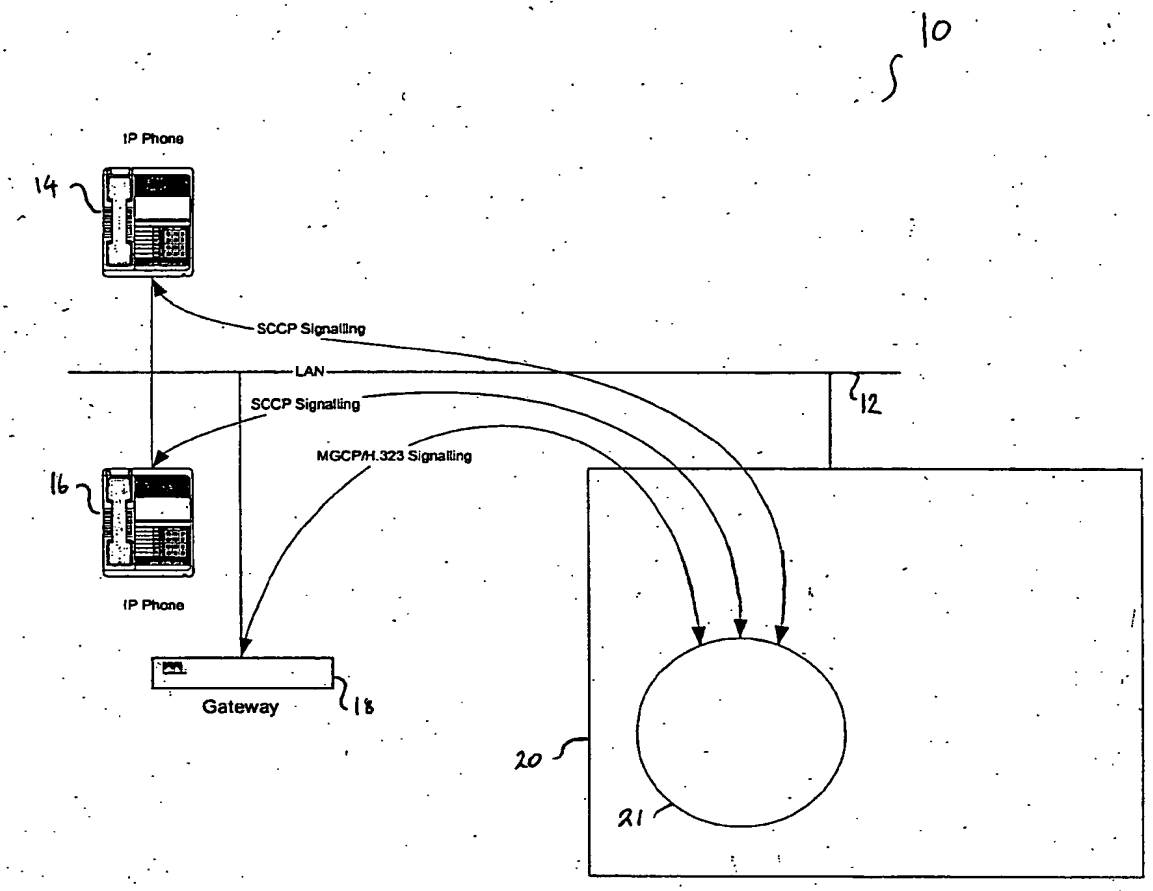
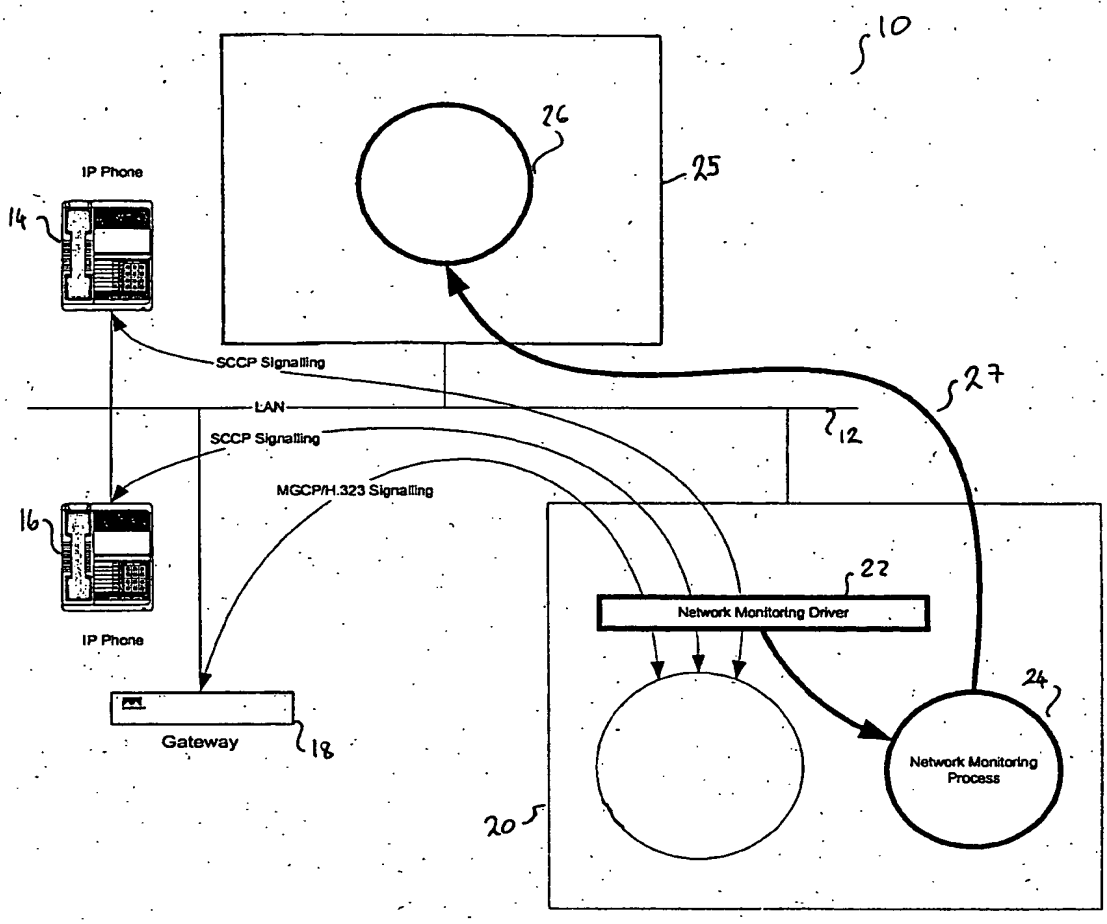

10
IP Phone
14
SCCP Signalling
LAN
12
SCCP Signalling
MGCP/H.323 Signalling
16
IP Phone
Gateway
18
20
21

Fig. 1


10
26
25
IP Phone
14
SCCP Signalling
27
LAN
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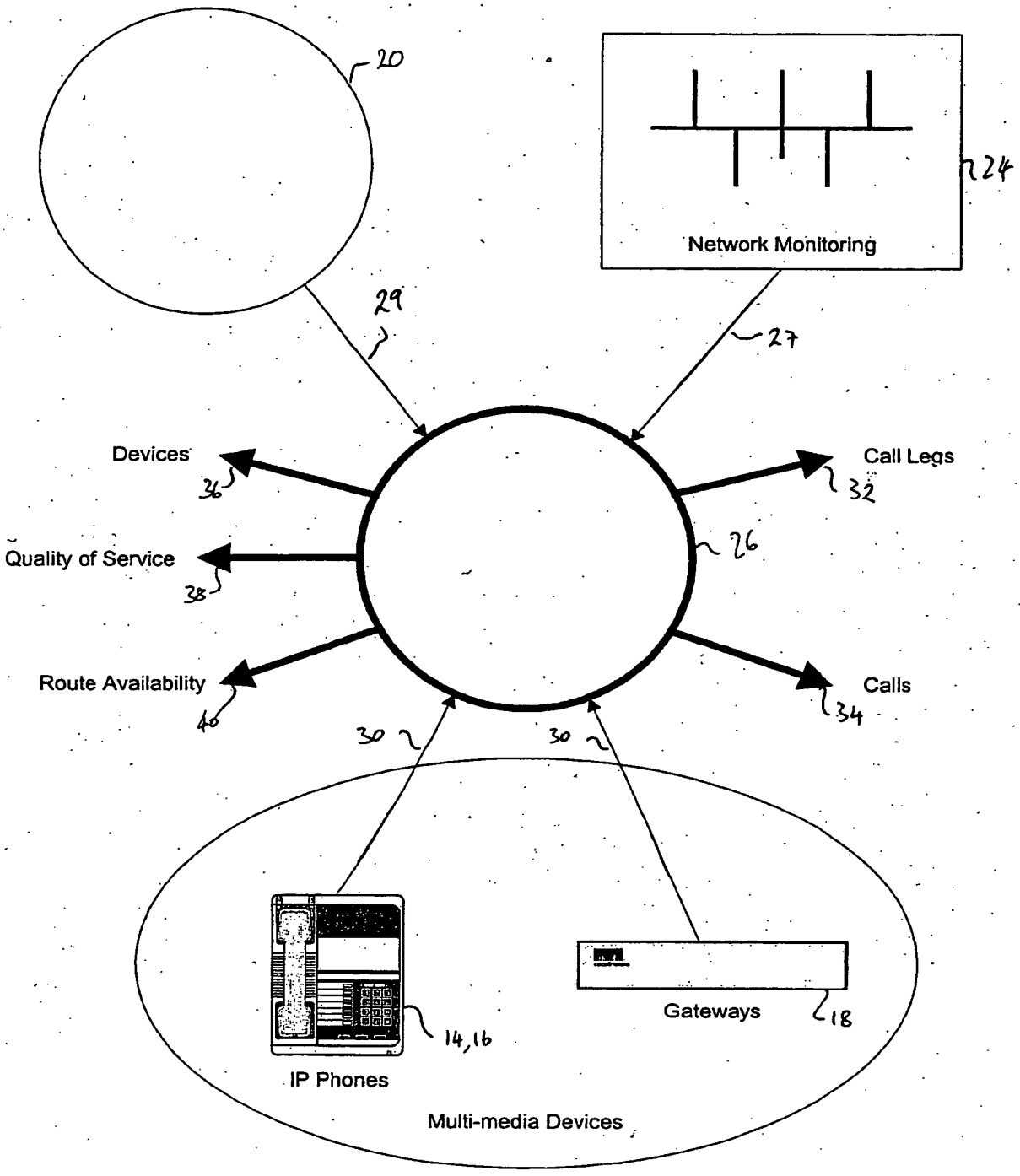
MGCP/H.323 Signalling
16
22
Network Monitoring Driver
IP Phone
24
Network Monitoring Process
Gateway
18
20

Fig 2


20
Network Monitoring
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29
27
Devices
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Call Legs
32
Quality of Service
38
26
Route Availability
40
Calls
34
30
30
14,16
IP Phones
Gateways
18
Multi-media Devices

Fig 3